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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Thomas W. Kenny et al.

Serial No.: 10/669,495

Filed: September 23, 2003

For:

MICRO-FABRICATED

ELECTROKINETIC PUMP WITH

ON-FRIT ELECTRODE

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Examiner:

TRANSMITTAL LETTER

Attorney Docket No.: COOL-00700

162 N. Wolfe Road Sunnyvale, CA 94086

Group Art Unit: 3746

(408) 530-9700

Customer No.: 28960

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including a copy of the reference contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. <u>08-1275</u>. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 9-21-04

Thomas B. Haverstock

Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLI

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SUPPLEMENTAL INFORMATION

DISCLOSURE STATEMENT

Examiner:

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The citation listed below, copy attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make this citation of official record in this application.

Applicants have become aware of the following printed publication which may be material to the examination of this application:

- U.S. Patent No. 3,267,859;
- U.S. Patent No. 3,554,669;
- U.S. Patent No. 6,012,902;
- U.S. Patent No. 6,770,183 B1; and
- U.S. Patent No. 2003/0022505 A1.

PATENT Attorney Docket No.: COOL-00700

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

- 2 -

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 9-21-04

Reg. No.: 32,571

Attorneys for Applicants

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22313-1450



PATENT Attorney Docket No.: COOL-00700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 3746

CERTIFICATION FOR

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37

Examiner:

CFR § 1.97(e)

(408) 530-9700

162 N. Wolfe Road

Sunnyvale, CA 94086

In re Application of: Thomas W. Kenny et al. Serial No.:10/669,495

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MICRO-FABRICATED For:

> ELECTROKINETIC PUMP WITH ON-FRIT ELECTRODE

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I, the person signing below, hereby certify that the printed publication contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on September 10, 2004. Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).

PATENT Attorney Docket No.: COOL-00700

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE Ox MAILING (37 CFR§ 1.8(a))

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22313-1450

FORM PTO-1449 (Modified) INFORMATION (Use Several Specific			U.S. Department of Commerce Patent and Trademark Office STATEMENT BY APPLICANT		Attorney Docket No.: COOL-00700		Serial No.: 10/669,495	
					Applicants: Thomas W. Kenny et al.			
37 CFR § 1.9	98(b))				Filing Date: Septem	ber 23, 2003	Group Art Unit	ı: 3746
	₹	Och Estab		U.S. PATENT DOC	UMENTS			
Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee		Class	Subclass	Filing Date
	AA	3,267,859	08/23/66	S.	S. T. Jutila		1	02/18/64
	AB	3,554,669	01/12/71	T. D. Reader		417	48	12/04/68
	AC	6,012,902	01/11/00		Parce	417	48	09/25/97
	AD	6,770,183 B1	08/03/04	Her	icken et al	204	600	07/26/01
	AE	2003/0022505 A1	01/30/03	Ou	ellet et al.	438	704	07/24/01
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Examiner:

Date Considered:

EXAMINER:

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Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.